



PTO/SB/21 (08-03)

Approved for use through 08/30/03. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box → ☒

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/820,316
		Filing Date	April 7, 2004
		First Named Inventor	Syed F.A. Hossainy
		Group Art Unit	1762
		Examiner Name	Unassigned
Total Number of Pages in This Submission	10	Attorney Docket Number	50623.285

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization	<input type="checkbox"/> Assignment & Recordation Cover Sheet (in duplicate)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Postage Paid Return Postcard	<input checked="" type="checkbox"/> Drawing(s) Formal <u>3</u> Sheets with Submission of Drawings Transmittal	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Issue Fee Transmittal with PTO-85b (in duplicate)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment Transmittal Letter (in duplicate)	<input type="checkbox"/> Request for Continued Examination Transmittal (RCE)	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Request for Status of Application
<input type="checkbox"/> Petition for Extension of Time (___ months) (in duplicate)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement (in duplicate) with Form PTO-1449 citing ___ References	<input type="checkbox"/> Terminal Disclaimer	Copy of Notice To File Missing Parts Of Nonprovisional Application (2 pages); Combined Declaration For Patent Application & Power of Attorney (3 pages)
<input checked="" type="checkbox"/> Express Mail Label No. EV 339 062 980 US	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (2 pages) (in duplicate)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Cameron K. Kerrigan, Reg. No. 44,826
Signature	
Date	July 23, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: July 23, 2004			
Typed or printed name	Rebecca M. Klits		
Signature		Date	July 23, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unassigned

Syed F.A. Hossainy et al.

Serial No.: 10/820,316

Art Unit: 1762

Filed: April 7, 2004

Title: Methods For Modifying Balloon Of A Catheter Assembly

Attention: Official Draftsperson
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Dear Official Draftsperson:


Pursuant to the Notice To File Missing Parts of Nonprovisional Application mailed June 21, 2004, Applicants submit herewith for filing three sheets of formal drawings consisting of Figures 1, 2, 3 and 4, for the above-referenced application.

The Commissioner is hereby authorized to charge payment for any deficiency of required fees associated with this communication to Deposit Account 07-1850.

Date: July 23, 2004

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,


Cameron K. Kerigan
Attorney for Applicant
Registration No. 44,826

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Not Yet Assigned

Syed F.A. Hossainy et al.

Serial No. 10/820,316

Art Unit: 1762

Filed: April 7, 2004

Title: Methods For Modifying Balloon Of A Catheter Assembly

Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS UNDER 37 C.F.R. 1.53(b)

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed on June 14, 2004, in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the above-identified patent application.

☐ Small entity status is proper for the assignee under 37 C.F.R. §§1.9 and 1.27.

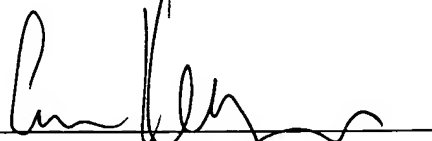
The fees associated with the filing of this response are shown below:

										Fees
Filing Fee										\$ 0.00
Missing Parts Surcharge										\$130.00
Any Additional Claim(s) over 20			x	\$18.00						\$ 0.00
Any Additional Independent Claim(s) over 3			x	\$84.00						\$ 0.00
Multiple Claim(s)			x	\$270.00						\$ 0.00
<input type="checkbox"/> Extension of Time Fee										\$ 0.00
For Large Entity										
Divide In Half For Small Entity										
TOTAL FEE ENCLOSED										= \$ 130.00
										= \$ 0.00

- ☐ No additional fee is required for this _____.
- ☒ Please charge Deposit Account No. 07-1850 in the amount of \$130.00
- ☒ The Commissioner is hereby authorized to charge any additional fees and credit any overpayments to Deposit Account No. 07-1850
- ☒ Charge any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

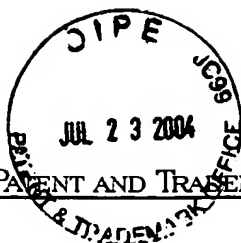
Date: July 23, 2004
SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, California 94111
(415) 954-0200


Cameron K. Kerrigan
Attorney for Applicants
Reg. No. 44,826

IFW



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/820,316	04/07/2004	Syed F.A. Hossainy	50623.285

Cameron K. Kerrigan
Squire, Sanders & Dempsey L.L.P.
Suite 300
1 Maritime Plaza
San Francisco, CA 94111

CONFIRMATION NO. 8511

FORMALITIES LETTER



OC000000013004620

Date Mailed: 06/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 4 too dark.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE